

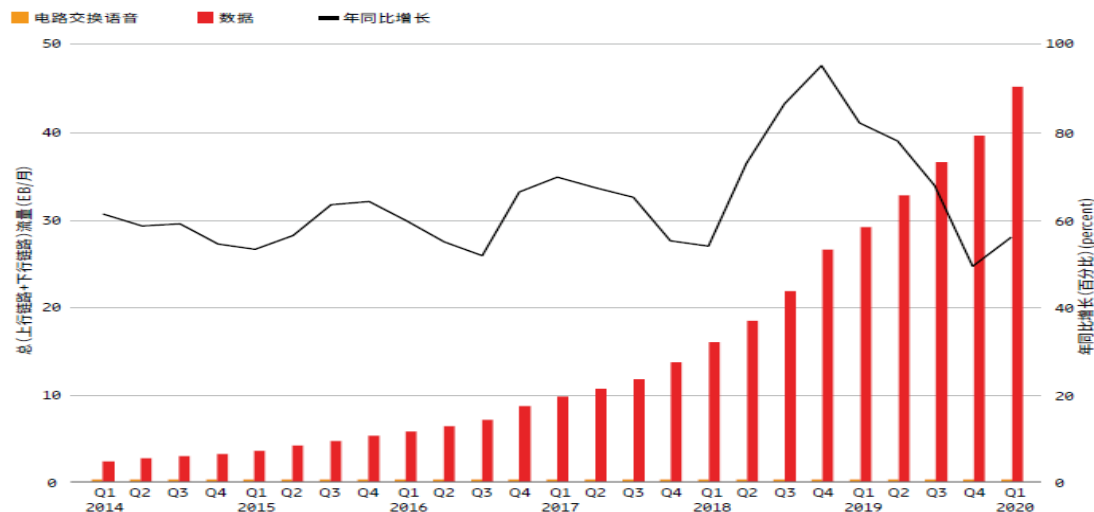
mmWave Spectrum and Deployment

毫米波频谱和部署

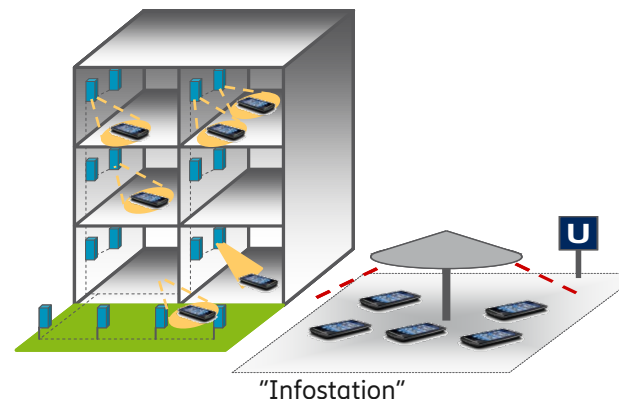
- Market Requirement and Technology Push for mmWave 毫米波市场需求和技术驱动
- mmWave Spectrum Deployment 毫米波频谱部署
- mmWave Characteristics and Technology 毫米波特性和技术特点
- mmWave Network Deployment and Use Cases 毫米波的网络部署实践和应用

We are in a growth industry! 我们的产业依然处在增长阶段

Mobile data traffic grew 56 percent between Q1 2019 and Q1 2020 移动数据业务量在2019Q1到2020Q1的增长率达到56%



注：移动网络数据流量还包括固定无线接入（FWA）服务产生的流量



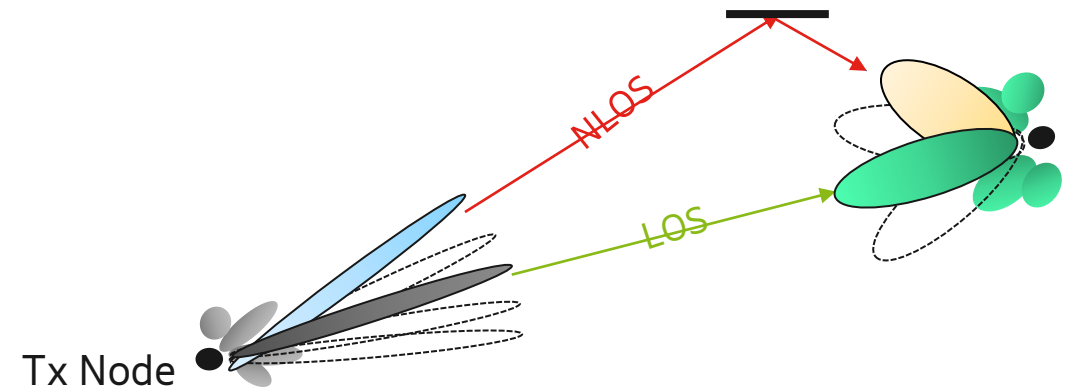
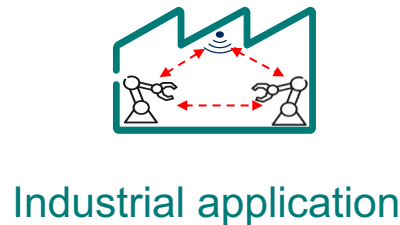
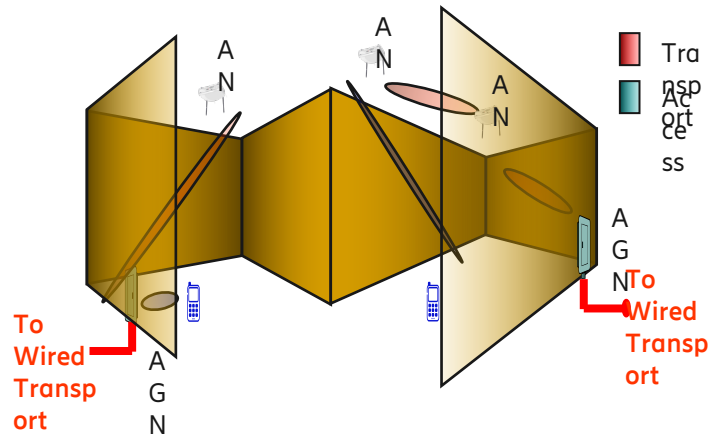
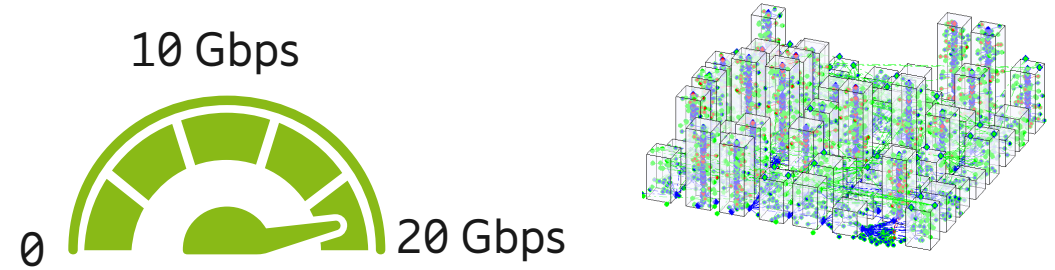
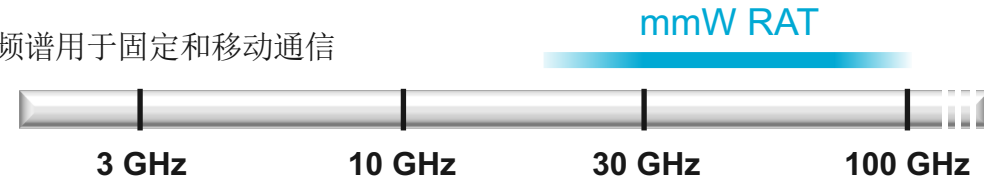
- Continued Growth in Traffic 业务量持续增长
 - Video, Voice and Data 视频、语音、数据
 - Increased in Resolution and Quality 高质量、高分辨率
 - Emerging of Data Intensive Applications 新兴的数据广泛应用
- Economic shift from built networks to exploitation of multi-purpose infrastructure 建设网络到探索多功能基础设施的经济转型

- Value of Mobility 移动性的价值
 - Wide Area 大覆盖
 - Nomadic 游牧式
 - Localized 本地移动
- Need for Ubiquity 无处不在的需求
- Speculative case of IoT 精彩的物联网应用

Technology Push 5G Radio Access Network 技术推动5G无线接入网



- Use of mmWave Spectrum— For Fixed and Mobile 毫米波频谱用于固定和移动通信
- Higher Bandwidth— Many Gbps Speeds 高带宽 Gbps 传输速率
- Very Dense Deployments 非常密集的部署
- Increases in Spectral Efficiency 提升频谱效率
 - Advanced Antennas System 先进的天线系统
 - High Gain Beamforming 高增益的波束赋型
- Self-Backhauling 自回传
- Low Latency and Jitter 低时延和抖动



WRC-19 AI.13: IMT identification mmWave

WRC-19 AI.13 标识用于IMT的毫米波频谱

Global IMT identification 全球IMT标识

24.25 - 27.5 GHz

37.0 - 43.5 GHz

66 - 71 GHz

Regional IMT identification 区域IMT标识

47.2 - 48.2 GHz: ~100 countries

45.5 - 47.0 GHz: 60 countries

Notes: ITU-R WP5D made the DRAFT NEW REPORT ITU-R

M. IMT.ABOVE 6GHZ for technical feasibility of IMT in bands above 6GHz before WRC-15

注：ITU-R WP5D早在2015年WRC-15研究周期就做了毫米波可行性技术研究报告

Technical conditions for mmWave in RR

世界无线电规则定义的毫米波技术要求



24.25 – 27.5 GHz

EESS protection 23.6-24 GHz 卫星地球探测业务保护

- Before September 1, 2027 2027, 9月1号前
 - 33 dBW/200MHz BS
 - 29 dBW/200MHz UE
- After September 1, 2027 2027, 9月1号后
 - 39 dBW/200MHz BS
 - 35 dBW/200 MHz UE

EESS protection @50.2-50.4 GHz and 52.6-54.25 GHz

- Encouragement to apply Cat B (SM.329) spurious limits

37 – 43.5 GHz

EESS protection 36-37 GHz

- -43 dBW/MHz and -23 dBW/GHz (obligatory)
- -30 dBW/GHz (recommended)

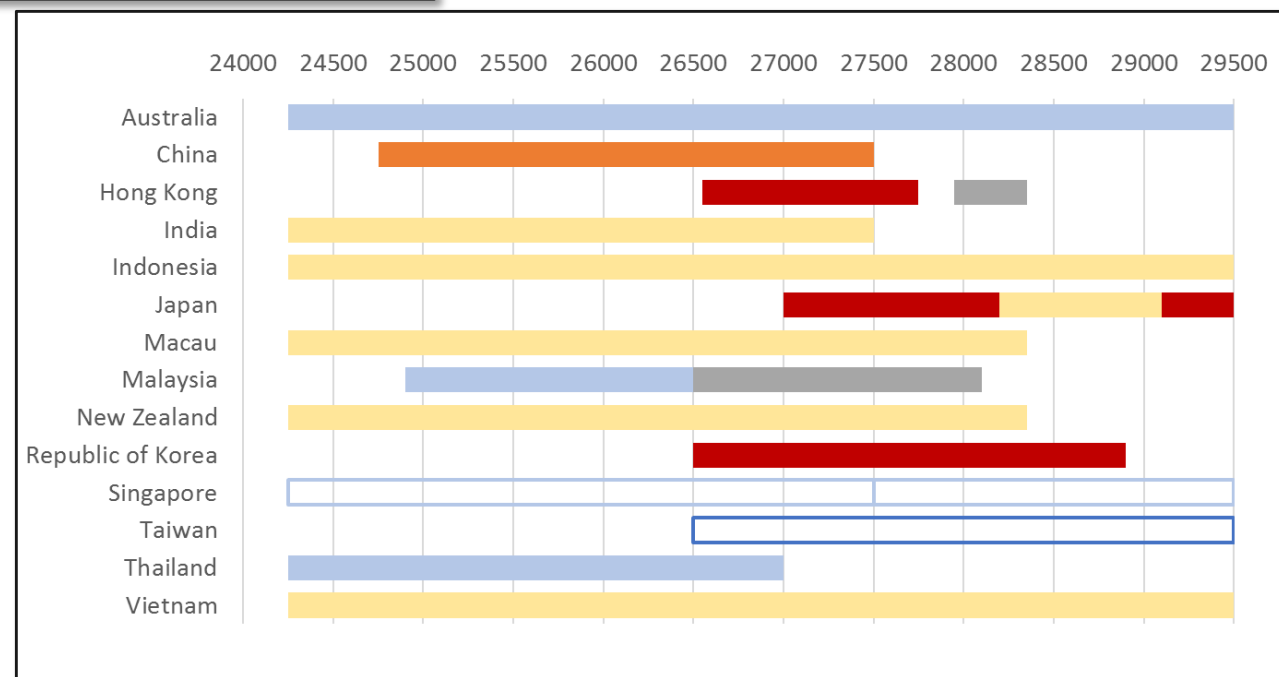
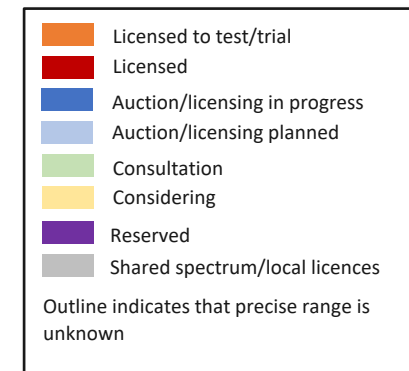
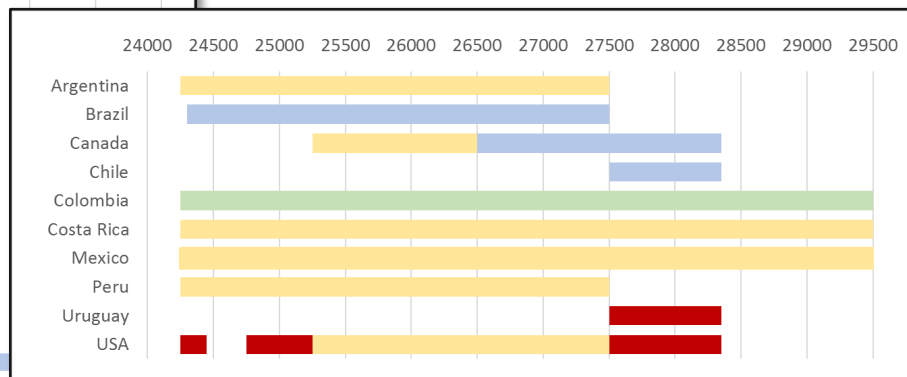
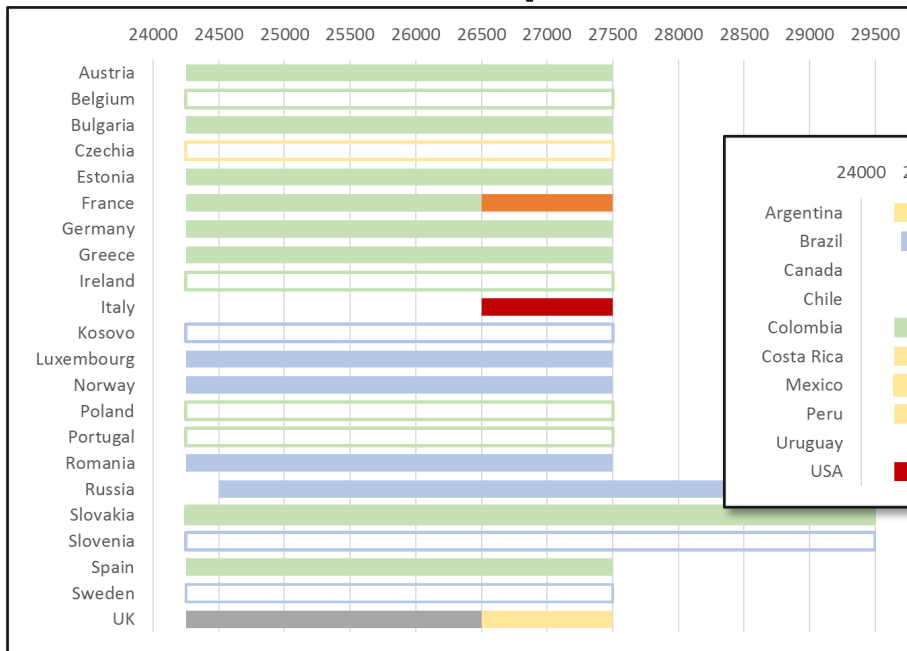
24.25 – 27.5 GHz; 42.5 – 43.5 GHz; 47.2 – 48.2 GHz

- Practical measure to ensure that Antennas pointing are below the horizon
Mechanical is tilt below the horizon 天线指向水平面以下
- As far as practicable select sites for BSs with >30 dBW/200 MHz EIRP per beam so that direction of maximum radiation is separated from geostationary satellite orbit within line-of-sight by +/-7.5 degrees 对地静止卫星的轨道+/-7.5度不能超过每波束30dBW/200MHz EIRP
- Encourages to keep base station antenna patterns within the limits of approximation envelope according to M.2101 鼓励保持基站天线发射在M.2101限制下

EESS (passive) protection 卫星地球探测业务(无源) 保护

In band satellite protection 带内卫星保护

mmWave spectrum availability 毫米波频谱的使用



26/28GHz (or parts of): pioneer 5G mmWave bands globally

Large number of licenses to be issued during 2020-2021

26/28GHz (或其部分频谱) 是5G毫米波频谱的先锋频段, 2020-2021全球会有大量的频谱执照的发放

37-43.5GHz (or parts of) will come next globally, Auctioned in US

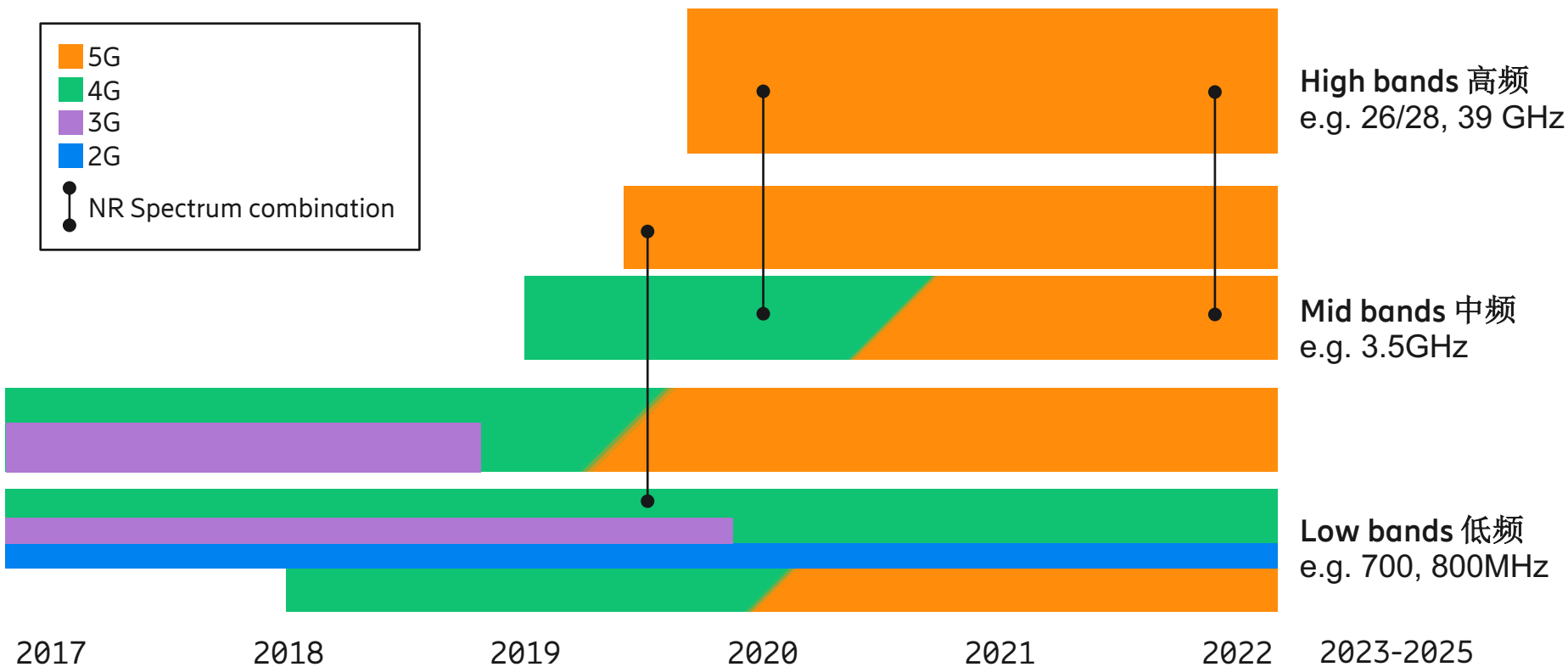
37-43.5GHz(或者部分频谱) 将是接下来的全球频谱, 目前在美国已经拍卖

Synchronization recommended, unless enough

frequency/geographical separation 除非足够的频率/地理隔离, 推荐同步使用

*Source: GSA

Spectrum usage overview 频谱使用概述



Deployment drivers 部署驱动

Localized Area
Very High Capacity

本地非常高容量

Wide Area High
capacity

广域高容量

Coverage

覆盖

Mid-bands: At least 100 MHz contiguous spectrum per MNO 中频每个运营商连续100MHz
High bands: ~ 1 GHz contiguous spectrum per MNO 高频每个运营商连续1GHz

Additional Mid/High band spectrum required for MNOs 额外的中高频谱需要给运营商

5G Deployment scenarios 5G 部署场景



High
mmWave
e.g. 26/28, 39 GHz

Mid
e.g. 3.5GHz

Low
e.g. 700, 800MHz

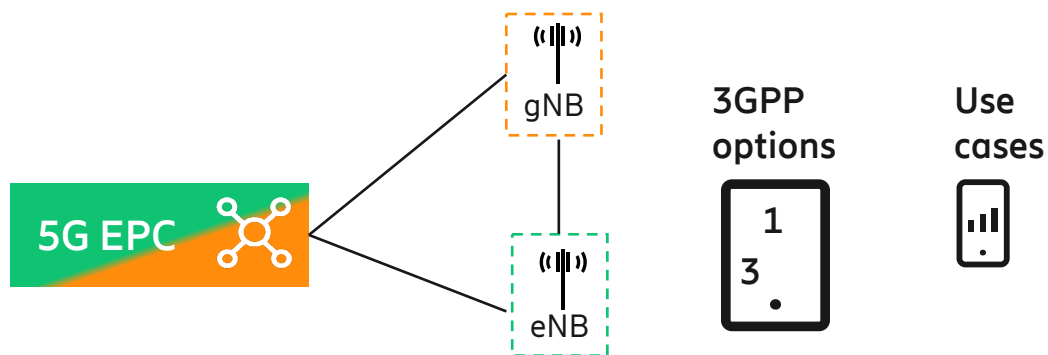


Dual connectivity
双连接

Carrier Aggregation
载波聚合

■ NR ■ LTE ■ 2G, 3G

5G Deployment scenarios 5G 部署场景



Performance Characteristics	
Cell edge performance	■ ■ ■
Capacity/Speed	■ ■ ■
Latency	■ ■ ■

Add 5G on mid-band, 增加5G中频
non-stand alone NSA

High
mmWave
e.g. 26/28, 39 GHz

Mid
e.g. 3.5GHz

Low
e.g. 700, 800MHz



Dense urban
密集城区

Urban
城区

Suburban
郊区

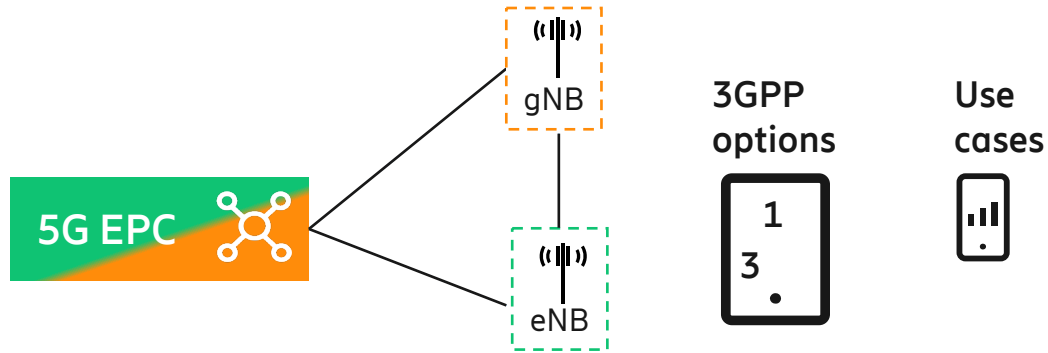
Rural
农村

Dual connectivity
双连接

Carrier Aggregation
载波聚合

■ NR ■ LTE ■ 2G, 3G

5G Deployment scenarios 5G 部署场景



Performance Characteristics	
Cell edge performance	■ ■ ■
Capacity/Speed	■ ■ ■
Latency	■ ■ ■

Spectrum sharing on low band, non-stand alone 低频频谱共享 NSA

High
mmWave
e.g. 26/28, 39 GHz

Mid
e.g. 3.5GHz

Low
e.g. 700, 800MHz



Dense urban

Urban

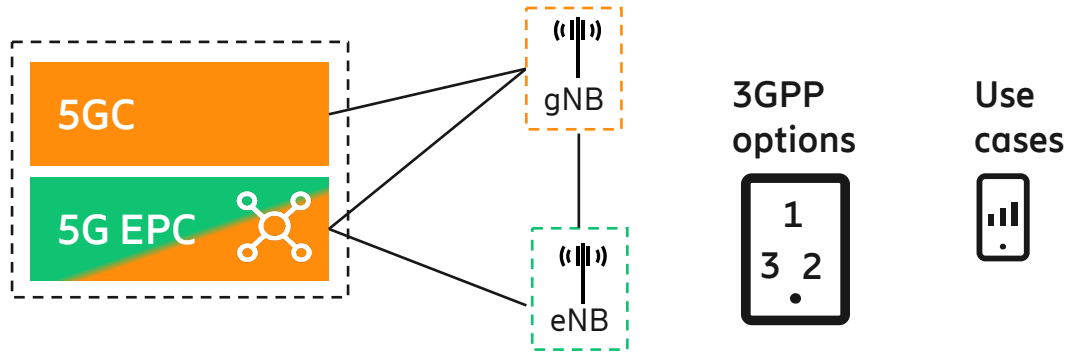
Suburban

Rural

Dual connectivity
 Carrier Aggregation

 NR
 LTE
 2G, 3G

5G Deployment scenarios



Performance Characteristics	
Cell edge performance	■ ■ ■
Capacity/Speed	■ ■ ■
Latency	■ ■ ■

Add 5G on high bands, dual-mode Core 增加高频，双模核心网

High
mmWave
e.g. 26/28, 39 GHz

Mid
e.g. 3.5GHz

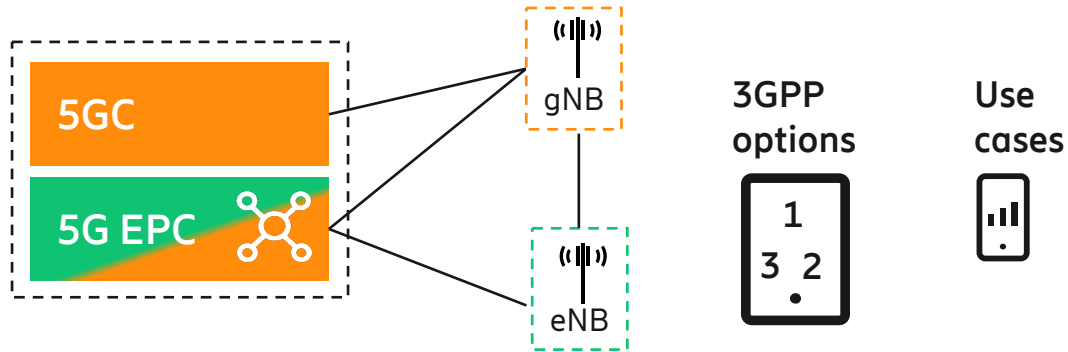
Low
e.g. 700, 800MHz



Dense urban Urban Suburban Rural

Dual connectivity
 Carrier Aggregation
 ■ NR
 ■ LTE
 ■ 2G, 3G

5G Deployment scenarios



Performance Characteristics	
Cell edge performance	■ ■ ■
Capacity/Speed	■ ■ ■
Latency	■ ■ ■

Spectrum sharing on mid-band, dual-mode Core 中频频谱共享

High
mmWave
e.g. 26/28, 39 GHz

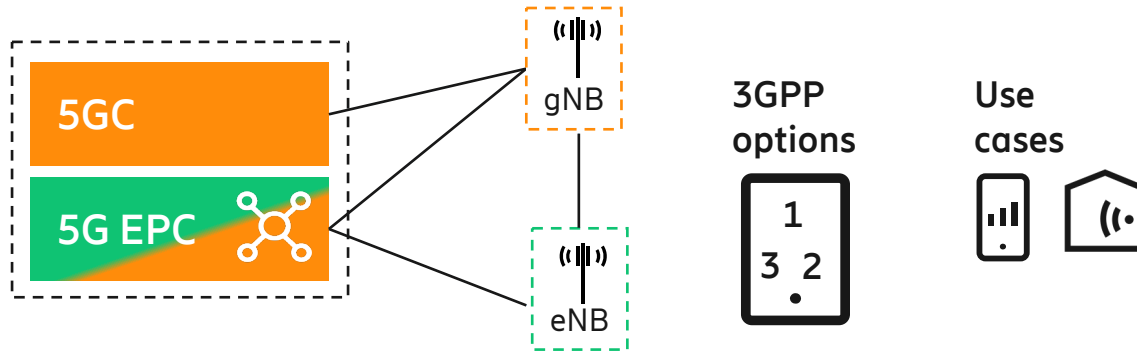
Mid
e.g. 3.5GHz

Low
e.g. 700, 800MHz



Dual connectivity
 Carrier Aggregation
 NR
 LTE
 2G, 3G

5G Deployment scenarios



Performance Characteristics 网络性能	
Cell edge performance	■■■
Capacity/Speed	■■■
Latency	■■■

FWA on high bands 高频固定无线接入

High
mmWave
e.g. 26/28, 39 GHz



Mid
e.g. 3.5GHz



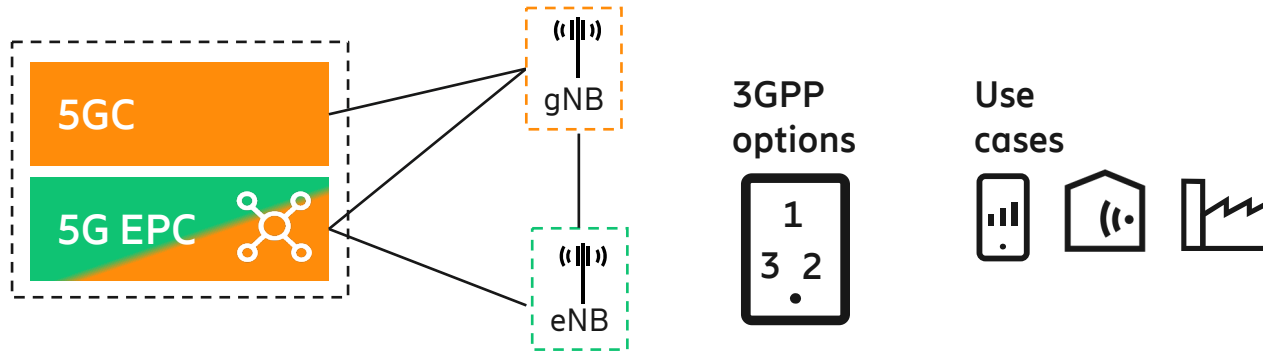
Low
e.g. 700, 00MHz



Dense urban Urban Suburban Rural

Dual connectivity
 Carrier Aggregation
 NR
 LTE
 2G, 3G

5G Deployment scenarios



Performance Characteristics	
Cell edge performance	■■■
Capacity/Speed	■■■
Latency	■■■

c-MTC for Industrial Sites 工业站点的蜂窝MTC

High
mmWave
e.g. 26/28, 39 GHz

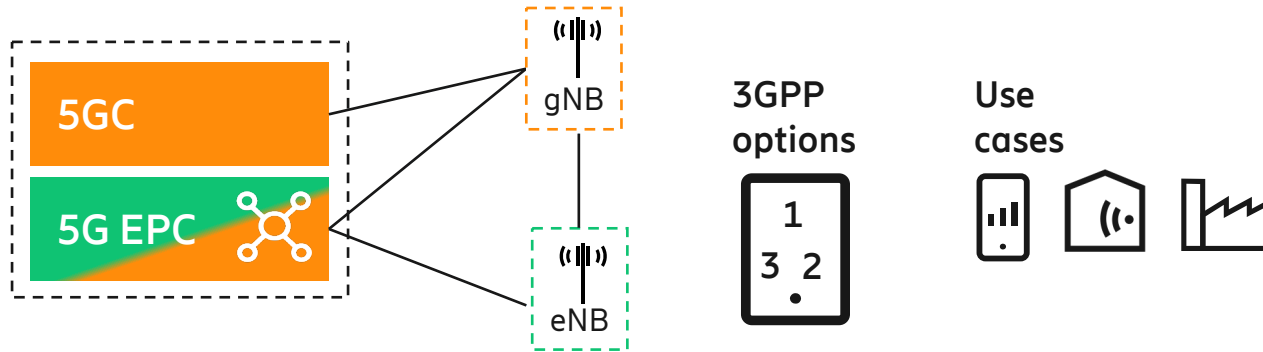
Mid
e.g. 3.5GHz

Low
e.g. 700, 800MHz



Dual connectivity
 Carrier Aggregation
 ■ NR
■ LTE
■ 2G, 3G

5G Deployment scenarios



Performance Characteristics	
Cell edge performance	■■■
Capacity/Speed	■■■
Latency	■■■

Start rearming 2G/3G 2G/3G 重耕

High
mmWave
e.g. 26/28, 39 GHz

Mid
e.g. 3.5GHz

Low
e.g. 700, 800MHz

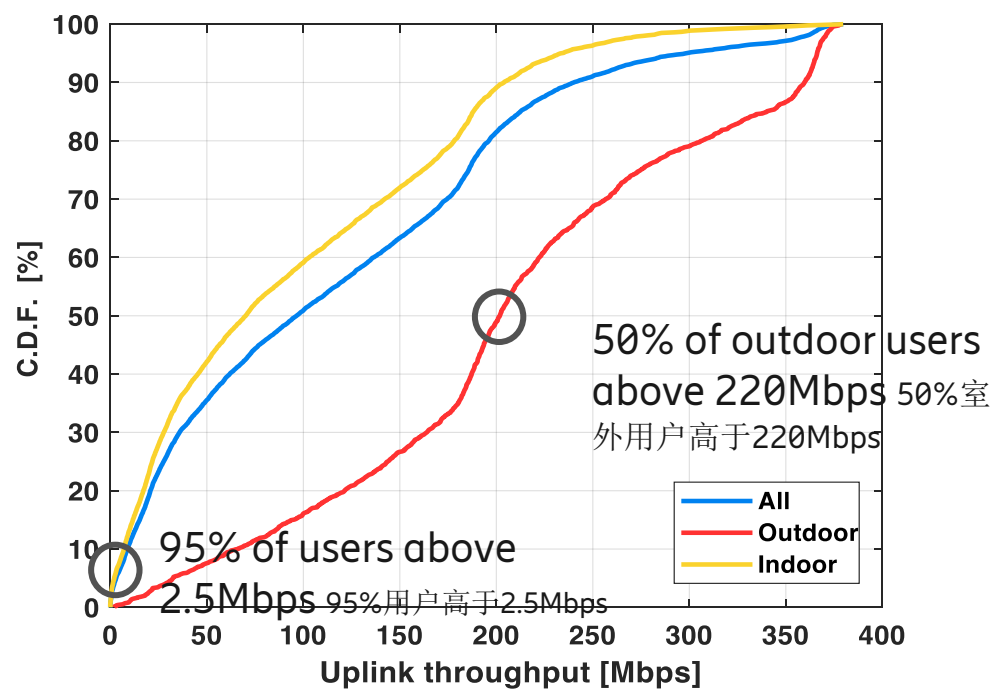
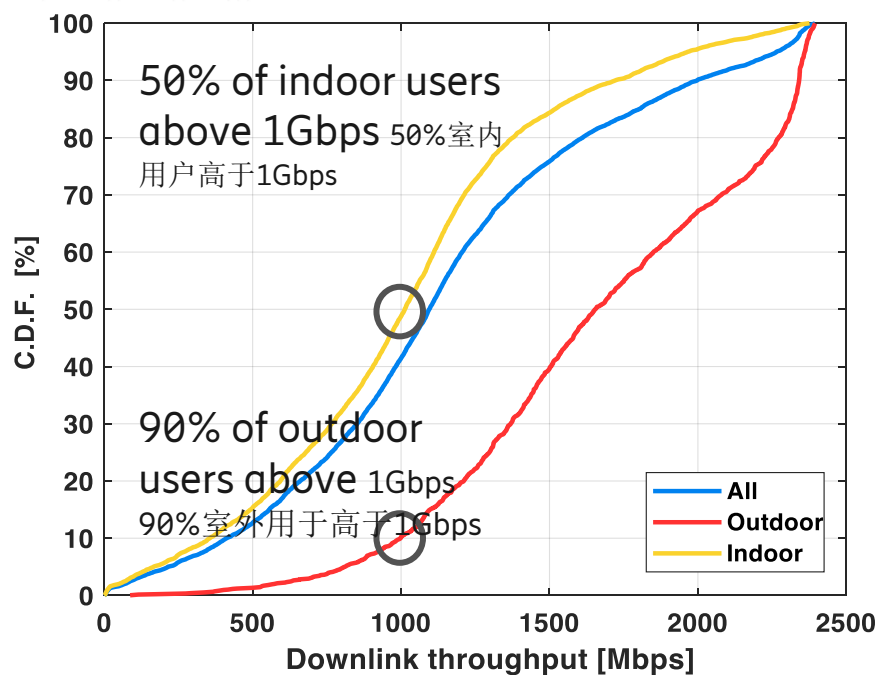
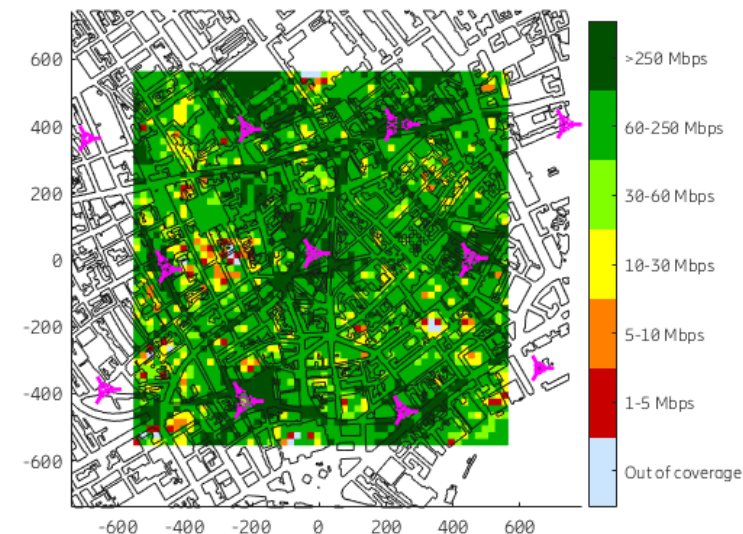
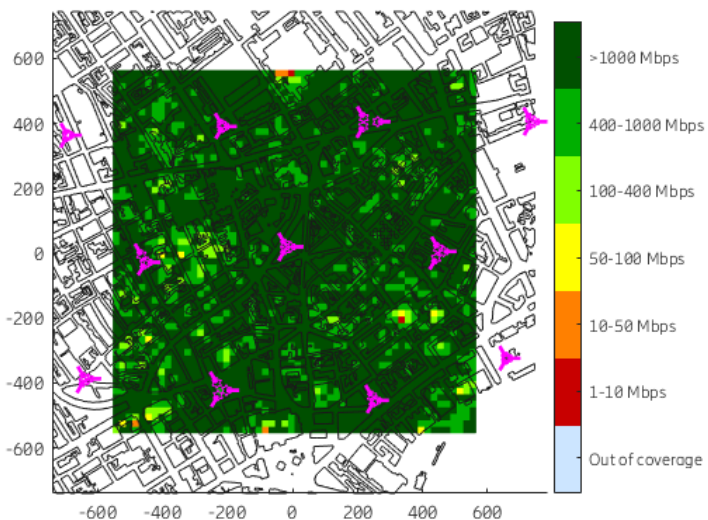


Dense urban Urban Suburban Rural

Dual connectivity
 Carrier Aggregation
 ■ NR
 ■ LTE
 ■ 2G, 3G

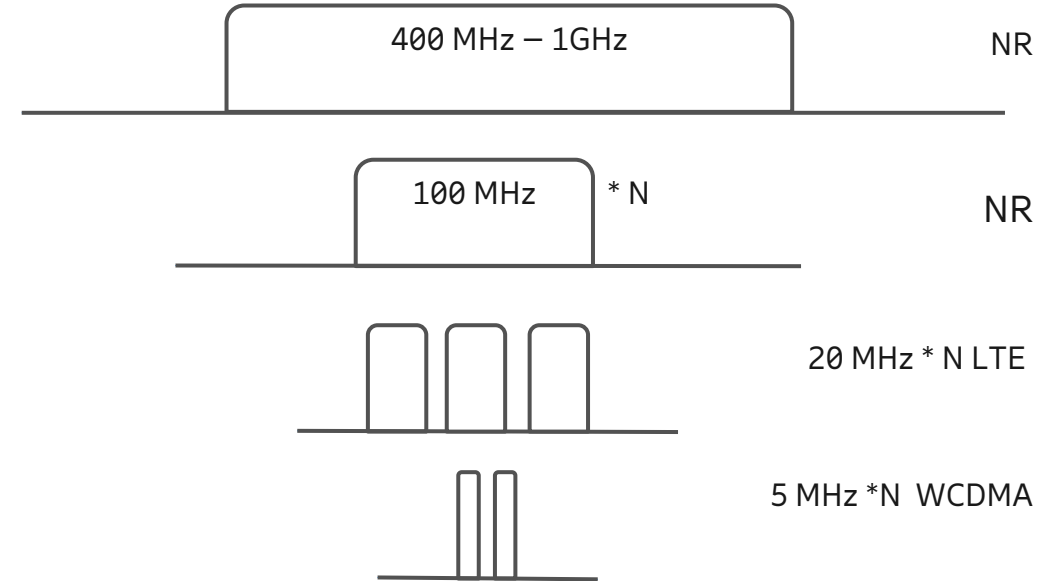
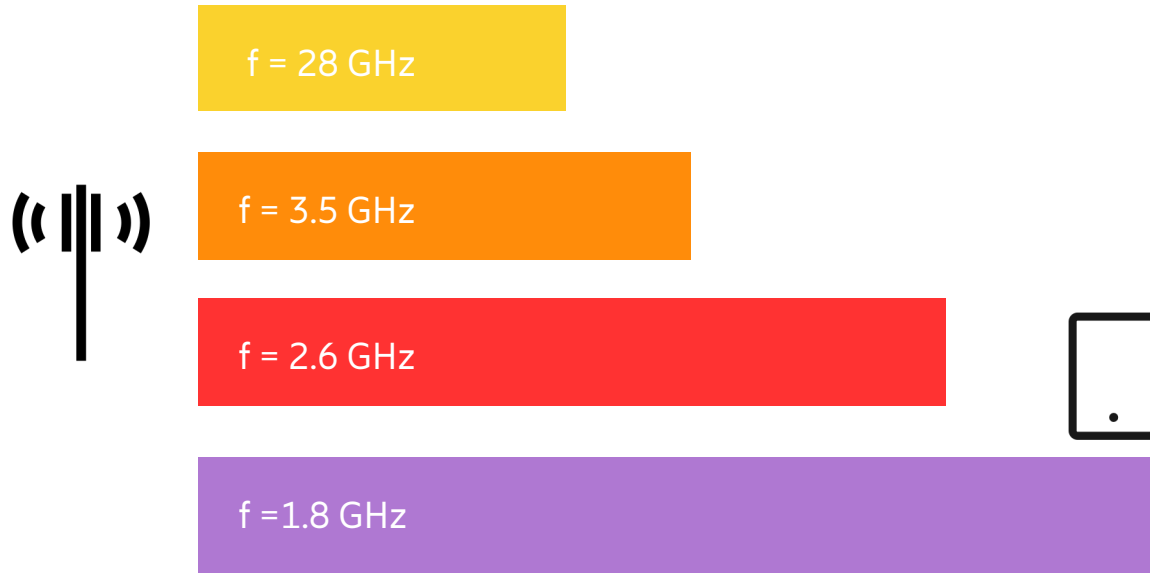
London LTE 0.8, 2.6 + NR 3.5GHz, 26GHz coverage

伦敦 800MHz+NR 3.5GHz+26GHz 覆盖



mmWave Technology Characteristics 毫米波技术特性

High Bandwidth 高带宽



Capacity and Coverage are related to S/N ratio and available spectrum (BW) 容量和覆盖与信噪比和可用频谱有关

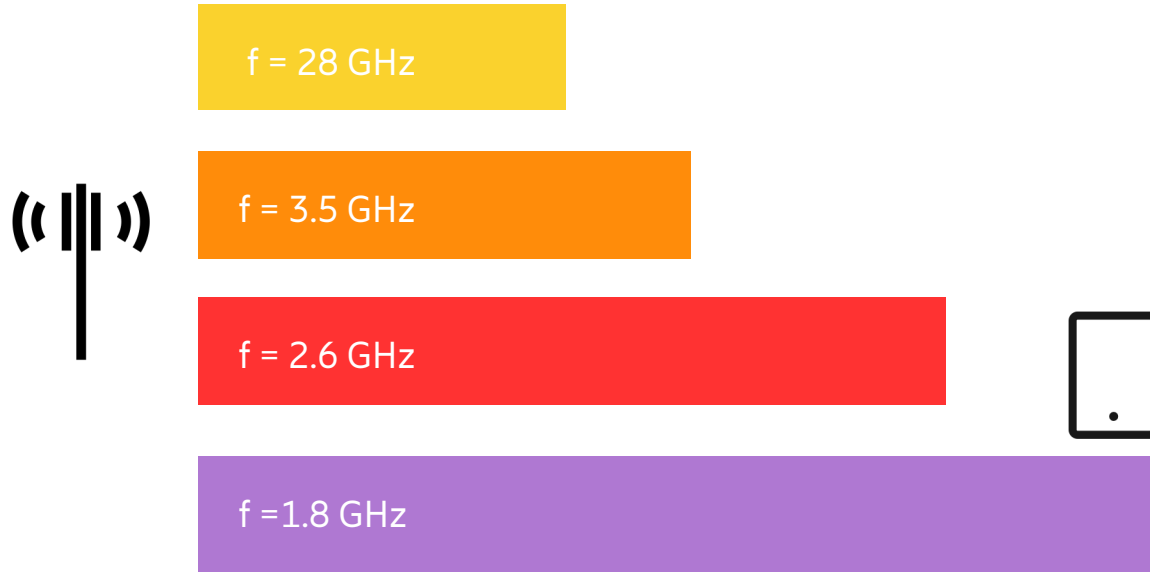
Max (Shannon) Capacity per communication channel: $C = BW(\log_2(1+S/N))$ 每通信信道的最大容量 (香农公式)

Large capacity increase in NR from extra spectrum NR 大容量增长来源于额外的频谱

mmWave Technology Characteristics — 毫米波技术特性



Beamforming and AAS 波束赋形和先进的天线系统



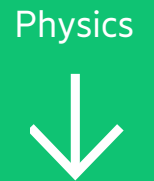
S/N increases with 信噪比增加跟随

- Number of Tx/Rx Antennas 收发天线数
- Reduced Interference 降低干扰
 - Beamform towards wanted Transmitter / Receiver 发射接收方向的波束赋形
 - Nullform towards unwanted 无用方向产生无效型
- Antenna Gain 天线增益
- Transmit Power 发射功率



S/N decreases with: 信噪比降低跟随

- Higher frequency 频率增高
- Greater Distance 距离增大
- Obstacles/Buildings 障碍物/建筑物
- Interfering users 干扰

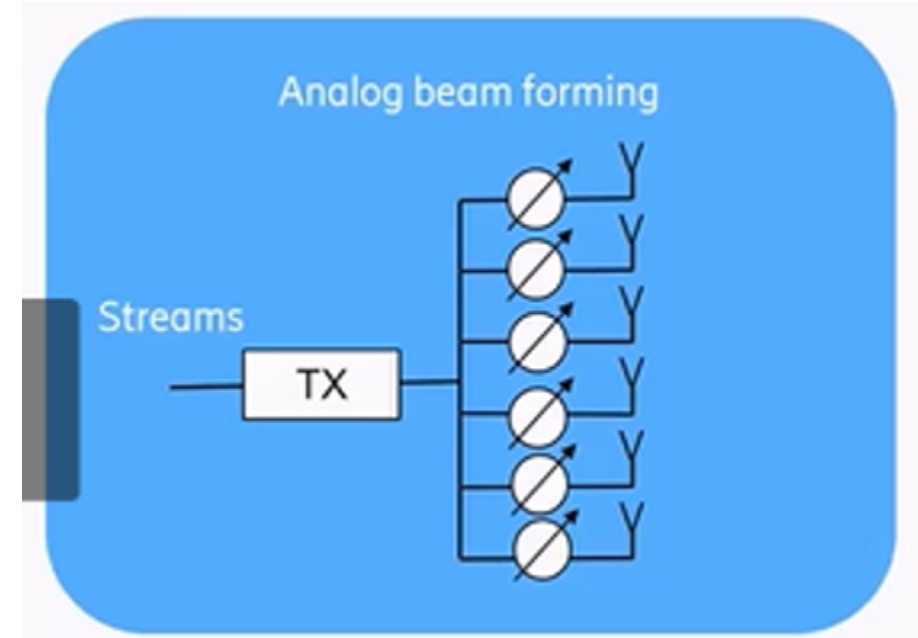
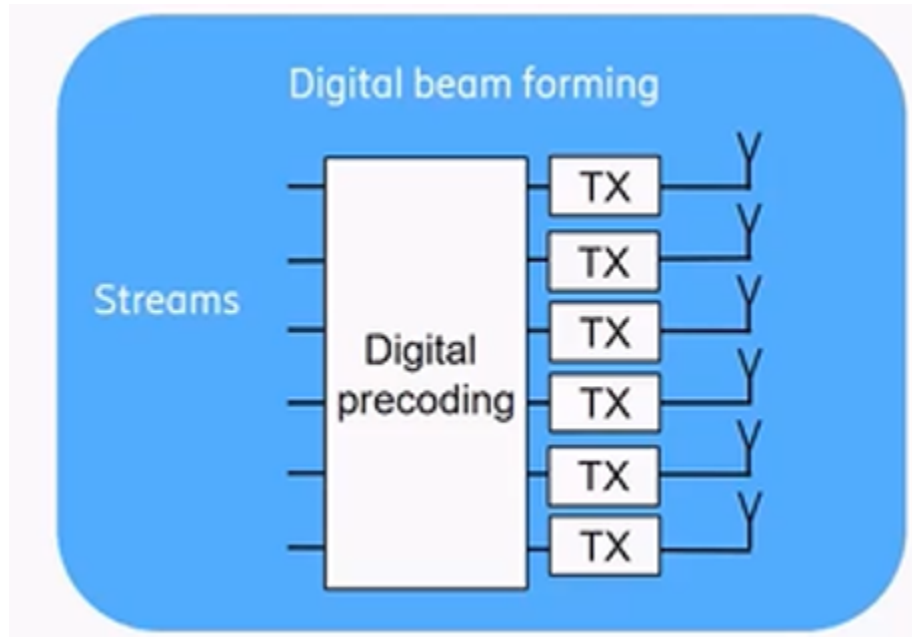


AAS improves S/N with beamforming. AAS also exploits improved S/N with MIMO techniques to increase capacity x
先进的天线系统通过波束赋形改善信噪比，同时通过MIMO技术来增加容量

AAS combats the physics, with coverage and capacity benefits AAS克服物理限制，带来覆盖和容量的好处

Digital vs Analogue Beamforming

数字和模拟波束赋形



- One DAC/ADC per subarray 一个子阵一个模数转换
 - Baseband access to each individual antenna element 每个基带接入对应一个天线单元
 - Allows different powers and phases to different antennas 每根天线对应不同的功率和相位
- Different streams can get different beam weights 不同的流可以得到不同的波束权
- Flexible 灵活
- Multiple beams per antenna panel 每个天线板面产生多个波束
- One DAC/ADC per antenna panel 每个天线面板对应一个模数转换
 - The same signal is fed to each antenna and then analog phase-shifters are used to steer the signal in the time domain 相同的信号发给每个天线，通过相位调节器来驱动信号在不同的时间发送
- One beam per time unit per polarization 每个时间单元每个极化方向对应一个波束
- One beam per antenna panel 每个天线面板对应一个波束

Why mmWave Using Analogue Beamforming 毫米波用模拟波束赋型

Pros: 利

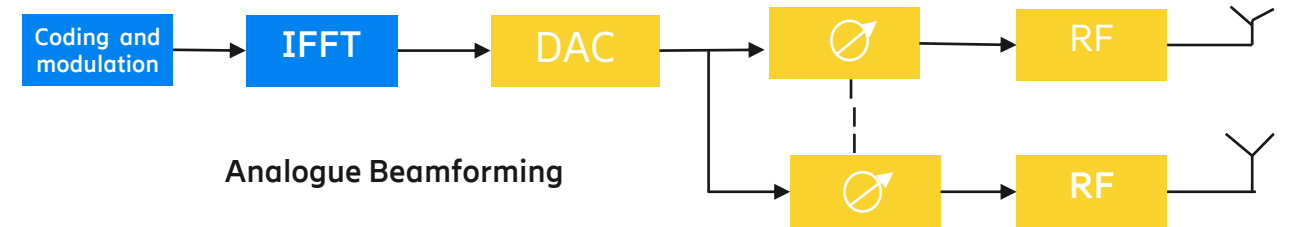
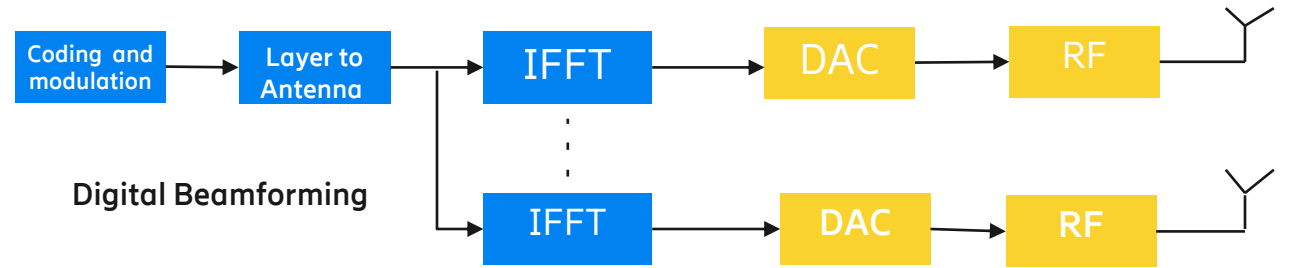
- One D/A and IFFT per beam instead of one per antenna
每个波束一个D/A和IFFT,而不是每个天线
 - Lower cost and power dissipation 价格低和低功耗
 - Lower load and baseband processing 低负载和低基带处理

Cons: 弊

- Not possible to do frequency selective scheduling(FSS) or Multiple beams (layers) per symbol (multi-user scheduling)
不能做频率选择性调度或每个符号的多波束(多用户调度)

mmWave: 毫米波

- Large BW and many antennas 大带宽多天线
- Less multipath and lower delay spread, LoS propagation
少的多径和较低时延扩展, 视距传播
 - Lower probability for high channel rank 较低可能做多流
 - More important with polarization multiplexing 更重要的是极化复用
- Higher coherence bandwidth 高相干带宽
 - Less need for FSS, full BW can be allocated 不太需要频率选择性资源调度, 整个带宽可以被调度

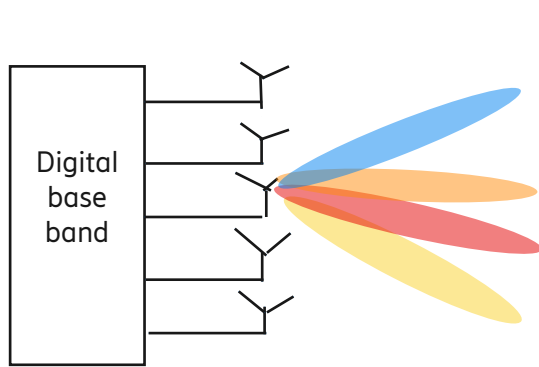
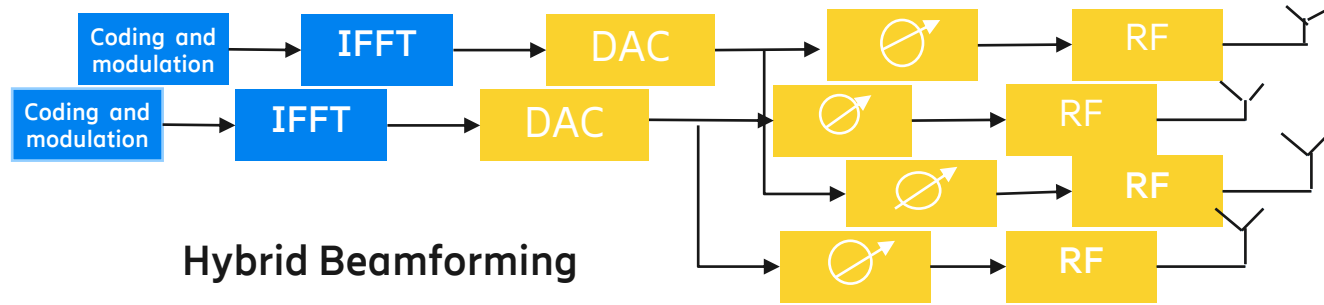


HybridBeam forming and comparison of different antenna architectures

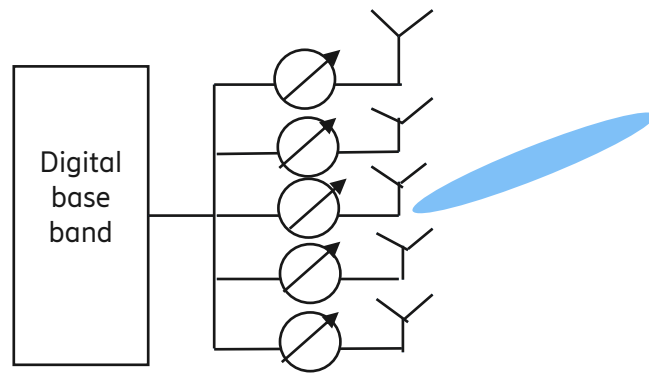
毫米波混合波束赋型及几种天线架构的比较



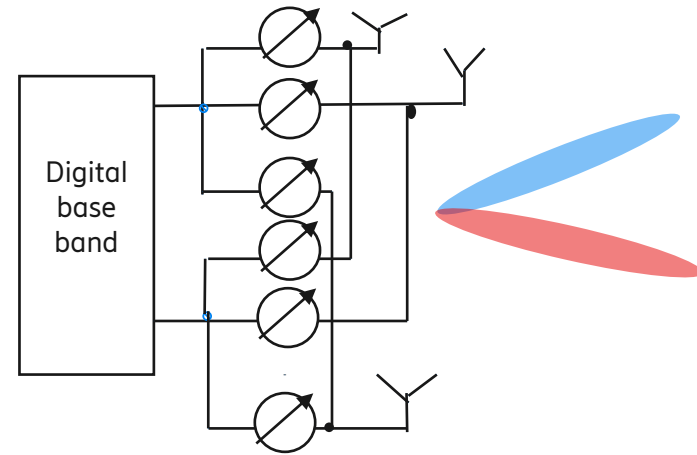
Hybrid Beamforming: Several parallel analog Networks that allow multiple beams/layers simultaneously



Full flexibility, multiple beams per time unit
Adaptable to multi-path and frequency-selective fading
完全灵活，每个时间单元多波束
适应多径和频率选择衰落



One beam per time unit per polarization for the entire frequency band
One beam per antenna panel
全频带每个时间单元每个极化方向一个波束



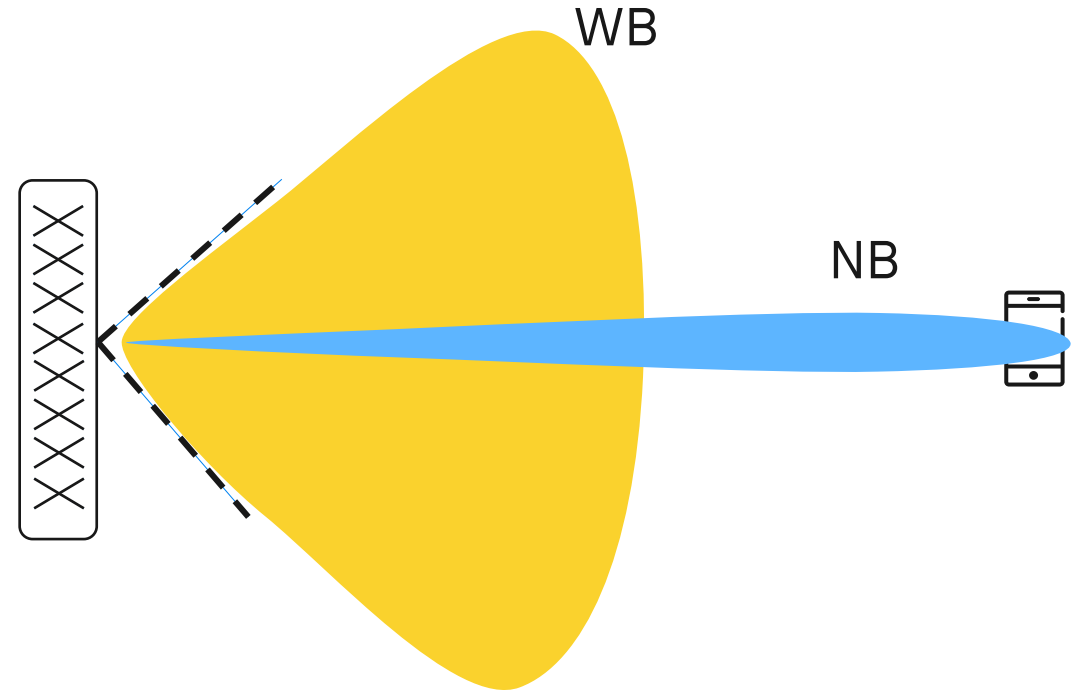
A few beams per time unit
Not adapted to multi-path or frequency selective fading
每个时间单元几个波束，不适应多径和频率选择性衰落

Why beam management? 波束管理



High band, analog beamforming 高频, 模拟波束赋型

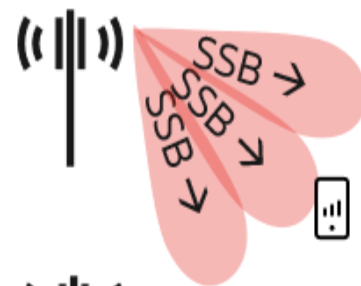
- Analog beamforming listens or sends in one direction at the time 模拟波束赋型同时只能在一个方向上监听发送
- Wide beam that cover the whole cell will have too short reach 宽波束可覆盖整个小区, 但覆盖距离太短
- Narrow beam that reaches users will have too narrow coverage 窄波束能覆盖更远的用户



Beam management 波束管理

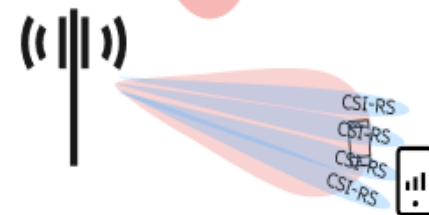


- Procedures for adding/updating a beam pair are supported 增加/修改波束配对
- A beam pair is updated by transmitting DL RSs
 - CSI-RS or SS block 通过发送DL RSs来进行波束配对更新
- The gNB and/or the UE updates its beams gNB 和 UE更新他们的波束



"P1 procedure"

- gNB TX波束广播扫描
- UE 通过选定的宽波发起接入



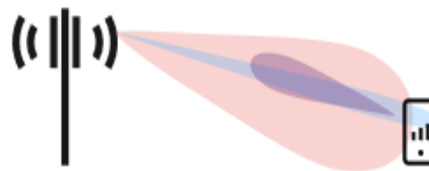
"P2 procedure"

- gNB TX波束跟踪。CSI-RS窄波束扫描，用于TX优化
- UE 选定最好的接收波束方向进行发送



"P3 procedure"

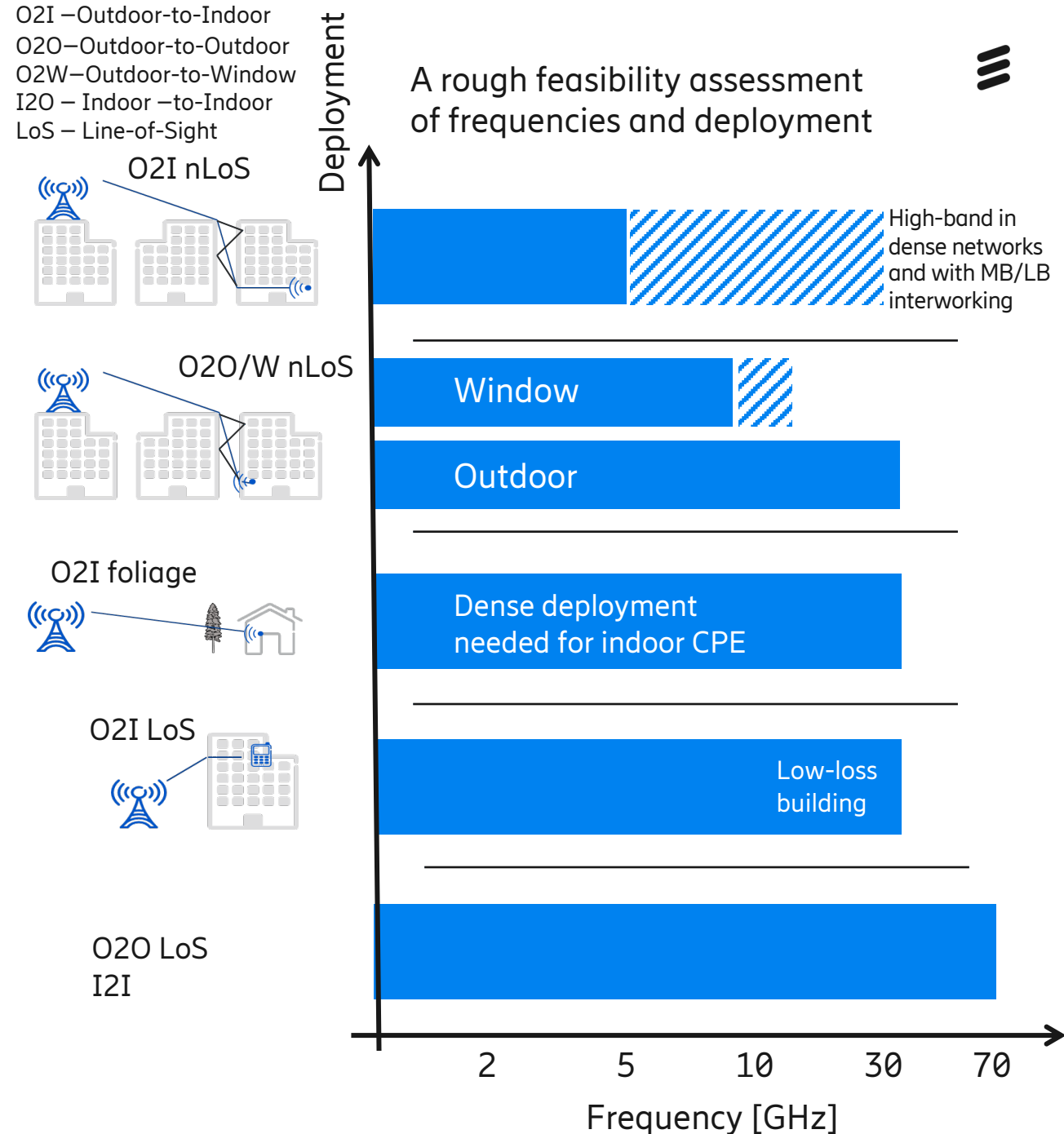
- gNB RX波束配对



Frequencies & Deployments 频率和部署

- Usability depends on deployment and interworking with lower bands 毫米波的使用取决于与低频的共同作用
- Mid-bands are very valuable on existing grids when used together with lower bands 中频在现有网络布局里非常有价值当其与低频一起部署
- mmWave bands in deployments with good coverage 毫米波部署在覆盖比较好的情况
 - Examples: Line of sight to building, fixed wireless, outdoor-to-outdoor, indoor-to-indoor 例如视距的楼宇、固定无线、室外到室外、室内到室内的传输

*Note: The figure is a rough summary of several simulation-based studies. Exact results depend on distances, building types, data rate requirements etc.



mmWave use cases 毫米波应用范例



- high throughput and capacity at low latency and small form factor 高吞吐量和容量、低时延和小的外型尺寸



Surveillance and video streaming / broadcast
监控和视频直播广播



Crowded area capacity
密集区域的容量



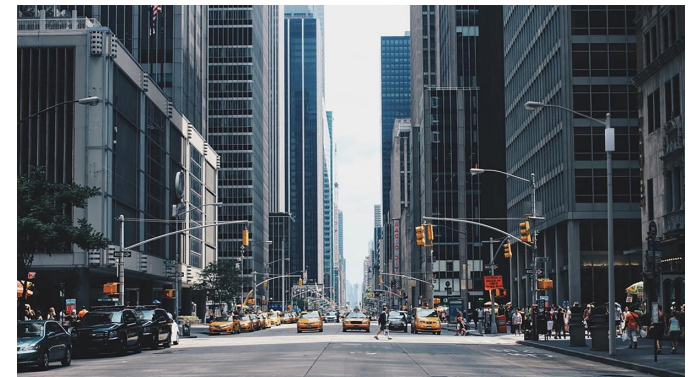
Last-mile fiber/copper complement
最后一公里/铜线替代



Everywhere AR/VR
各处的AR/VR



Smart Factory
Industry 4.0 智能工厂工业4.0



Street Macro applications
街区宏站应用

Live mmWave networks 毫米波现网的情况



AT&T achieves 1.3 Gbps @ 180m
AT&T获得1.3Gbps@180m



AT&T 5G live in 21 markets

AT&T 5G现网在21地区部署

- First commercial 5G launch Dec 21st 2018 2018年12月21号率先实现5G商用部署
- NETGEAR® Nighthawk 5G Mobile Hotspot & S10 5G
- Average speed record June/July tests (PCMag)

Gigabit speeds, Chicago, May 16th
Verizon 去年5月16号在芝加哥实现Gigabit 速率

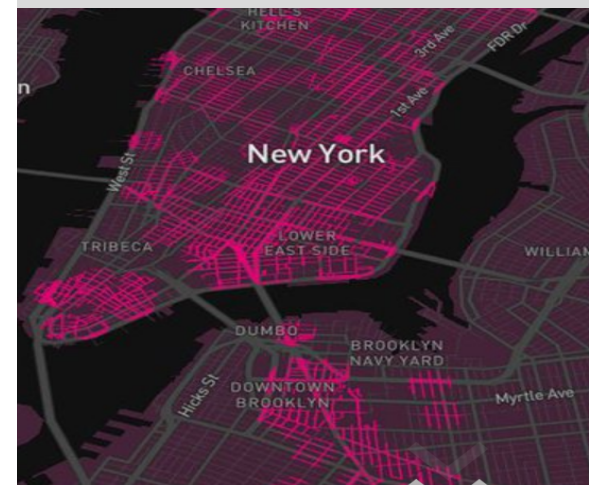


Verizon 5G live in 15 markets

Verizon 5G 现网部署在15个市场

- Smartphone launch April 3rd 智能手机2019年4月3号上市
- Moto Z3, Z4, S10 5G, LG V50 & Inseego MiFi Hotspot
- NFL and NBA stadiums (NBA 场馆)

T-Mobile 5G coverage, New York City, June 28th
去年6月28日T-Mobile 在纽约实现5G毫米波覆盖

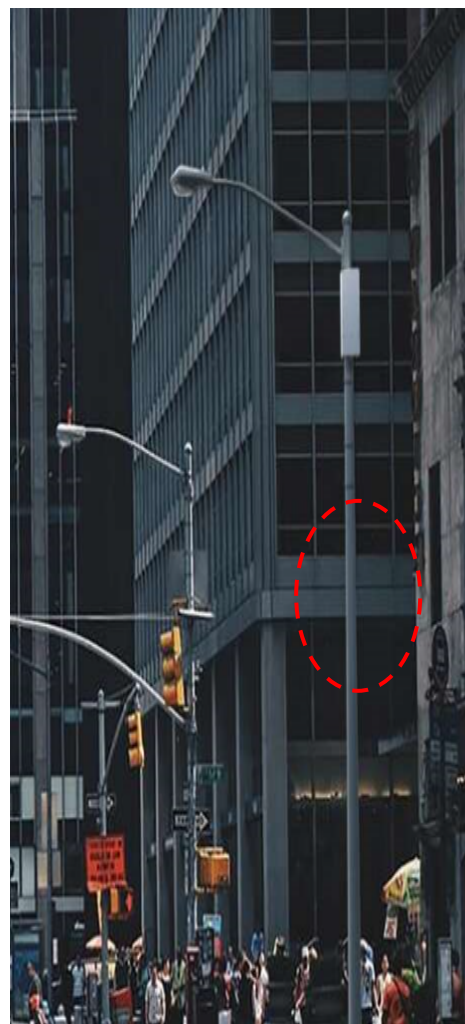


T-Mobile 5G live in 6 cities

T-Mobile 5G 现网部署在6个城市

- Launched June 2019 in 28 GHz 2019年6月部署的28GHz
- Samsung S10 5G
- Target to be 1st on nationwide coverage (600 MHz) 目标建设第一张600MHz的网络

mmWave live deployment measurements 毫米波商用网部署测试



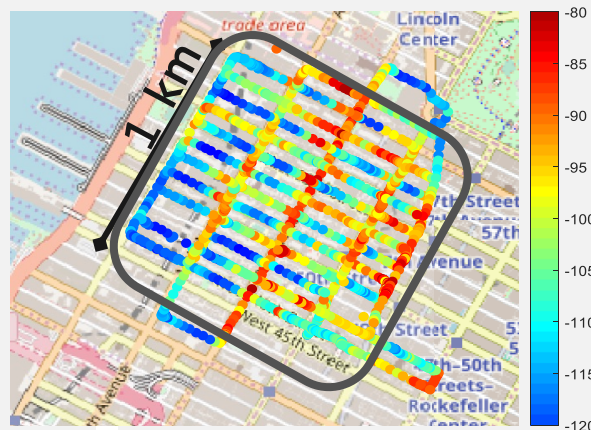
Good outdoor/street coverage in live test 商用网测试中的较好的室外/街道覆盖

28G 400M带宽, 12 站覆盖约1km²



室外宏覆盖:

- RSRP < -80 dBm
- 好点速率约2Gbps

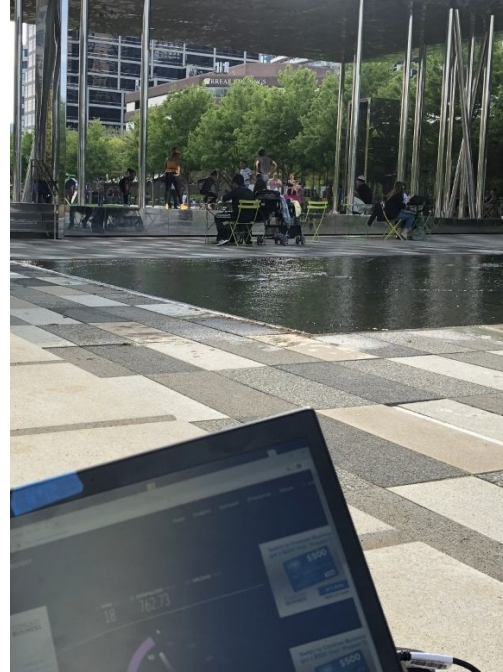
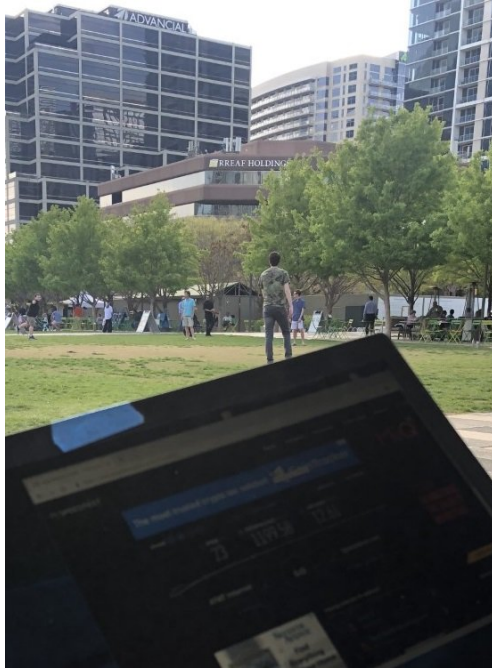


室内浅层穿透:

- DL speed ~ 400-500 Mbps
- UL speed ~ 24 Mbps



mmWave end-user experience 毫米波用户体验



Moving in and out of some tree cover speeds varied between 687-763 Mbps
移动进出和树林覆盖物的速率在687-763 Mbps之间变化

	50m	100m	200m
Speed in mbit/s	1320	1199	762
Latency in ms	24	23	18

mmWave deployment considerations 毫米波部署的一些考虑

