



Security Accreditation
Scheme



Accredited
Supplier

Certificate No: GO-TW-UP-1220-2

Certificate

This is to certify that

Thales DIS Sp. z o.o., Tczew, Poland

has participated in the GSM Association Security Accreditation Scheme for UICC Production (SAS-UP) and satisfied the scheme's requirements.

This certificate remains valid until the end of December 2020*.

A handwritten signature in black ink, appearing to read 'AS', with a long horizontal stroke extending to the right.

Alex Sinclair
Chief Technology Officer
GSMA



* Dependent on continued supporting site certification (currently valid to end February 2021)



Security Accreditation
Scheme



Scope of Certification

To be viewed as part of Security Accreditation Scheme Certificate No: GO-TW-UP-1220-2

Production Site: Thales DIS Sp. z o.o.

Site Address: Skarszewska 2, Tczew 83-110, Poland

Supporting site(s) details: Type: Centralised IT. Thales DIS, 525 avenue du Pic de Bertagne CS 12023, Gemenos Cedex 13881, France, Thales DIS, Southern Luzon International Business Park, Calamba, Laguna 4027, Philippines. Expiry date: February 2021

The auditors were provided with appropriate evidence that the processes and controls on the audit dates were consistent with those required by the SAS-UP Standard v8.0 and the SAS Consolidated Security Requirements v2.0, with the following scope:

Generation of data for personalisation:	UICC	Personalisation:	Card
Management of PKI certificates:	Not carried out at this site	Post-personalisation packaging:	Not carried out at this site

Notes & Exclusions: The management of network security and some production systems at Thales DIS Sp. z o.o., Tczew is carried out as part of the corporate MSC environment (supporting sites specified above). The certification of Thales DIS Sp. z o.o., Tczew will be dependent on Thales continuing to demonstrate SAS-UP compliance of these activities through future renewal audits at corporate level. Due to Covid-19, the certification of Thales DIS corporate sites at Gemenos & Calamba have been temporarily extended to **February 2021**, subject to the Terms & Conditions on page 3.

Certification covers the site management of the exchange of encryption keys with customers and Thales production sites, and for personalisation of BAP test cards for testing internally and by customers using data generated on-site. The site does not carry out mass-production of UICCs and has not been audited and certified for this activity.

For and on behalf of FML
(James Messham)

For and on behalf of ChaseWaterford
(Vernon Quinn)

SAS certification is awarded based on an assessment by the audit team that the site met the requirements of the SAS Standard during the audit, and that it demonstrated an ability and intent to sustain compliance during the certification period. Continued site compliance with the SAS Standard during the certification period, including the implementation of SAS-compliant controls following any changes to the certified environment, is the responsibility of the site. This certificate cannot guarantee that the above organisation is invulnerable to attack or breaches of security. GSMA, FML and ChaseWaterford exclude all liability for loss or damage of any description caused by any failure or breach of the above organisation's security save as may be required by law.

Terms and Conditions of Temporary Extension (applies to Thales DIS, Gemenos & Calamba only)

The following terms and conditions apply to the Temporary Extension certification for Thales DIS, Gemenos & Calamba only:

1. This Temporary Extension Certificate is only valid for a period of 6 months. It cannot be extended. Any subsequent extension of the Certificate is at the sole discretion of the GSMA and requires a new temporary extension certificate issued by the GSMA.
2. The Temporary Extension is subject to the:
 - (i) SAS-UP Services Agreement between the GSMA and the Auditee (as amended);
 - (ii) FS.05 GSMA Security Accreditation Scheme for UICC Production - Methodology;
 - (iii) GSMA SAS Covid-19 Auditing and Certification Policy;
 - (iv) FS.05C19 SAS-UP TEA Covid-19 Methodology Variations;
in force at the time.
3. The GSMA shall not be liable for any third party reliance on or claim in relation to the Temporary Extension Certificate and/or any associated matter. In the case of any alleged third party reliance on or claim against the Temporary Extension Certificate and/or any associated matter, the Auditee will fully and finally settle such matter (irrespective if brought against the Auditee or the GSMA and/or its Auditing Companies) from its own funds without any recourse to the GSMA or Auditing Companies.
4. The Temporary Extension is subject to:
 - (i) a satisfactory result of a Temporary Extension Assessment (TEA) as set out in the document FS.05C19 SAS-UP TEA Covid-19 Methodology Variations in force at the time;
 - (ii) full and prompt payment of any Fees incurred for the TEA, as set out in Annex A of the SAS-UP Service Agreement, subject to the provisions in FS.05C19 SAS-UP TEA Covid-19 Methodology Variations in force at the time. Payment must be received in full prior to the GSMA issuing the Temporary Extension Certificate.
5. The Auditee agrees to maintain its SAS-compliant controls during the period of the Temporary Extension Certificate at the primary certified site and any supporting sites identified on page two of this Temporary Extension Certificate.
6. While holding the Temporary Extension Certificate, the Auditee agrees to:
 - (i) notify GSMA of any major change to its SAS-UP certified controls or any known non-compliance with the SAS requirements at the aforesaid site(s);
 - (ii) at the request of the GSMA or appointed auditors, provide evidence (documents, photos, video, etc.) of compliance with SAS-UP requirements for remote review and assessment by any GSMA appointed auditors to validate continued SAS-UP compliance or remediation of non-compliances;
 - (iii) participate in associated conference calls with the GSMA appointed auditors and/or GSMA to support above reviews and validations.

The GSMA may withdraw the Temporary Extension Certificate if non-compliances with the SAS-UP are found and not remediated within such period as specified by the GSMA.

The GSMA may charge auditing fees for time spent by SAS auditors performing these off-site review and assessment activities auditing in accordance with Annex A of the SASUP Service Agreement and Section 8.5 of the GSMA Security Accreditation Scheme for UICC Production – Methodology in force at the time.