

Temporary Extension Certificate

This Temporary Extension Certificate extends the existing GSMA SAS Provisional Certificate NP-TN-UP-1022 ("Certificate") of the GSM Association Security Accreditation Scheme for UICC Production (SAS-UP) which confirms that:

NXP Semiconductors (Tianjin) Ltd., Tianjin, China

has participated in the SAS-UP and satisfied the requirements at the time for the Certificate.

This Temporary Extension Certificate is subject to the terms and conditions on page 3 below and remains valid until the end of April 2024*.



Alex Sinclair
Chief Technology Officer
GSMA



* Dependent on continued supporting site certification (extended to end April 2024)



Security Accreditation
Scheme



Scope of Provisional Certification

To be viewed as part of Security Accreditation Scheme Certificate No: NP-TN-UP-1022

Production Site: NXP Semiconductors (Tianjin) Ltd.

Site Address: No 15, Xing Hua Ave, Xiqing Economic Develop Area, Xiqing, Tianjin, 300385, China

Type: Warehousing of Class 1 product. NXP Semiconductors (Tianjin) Ltd., No 16, Wei 2 Road Microelectronic Development Area, Tianjin, Xiqing, 300385, China. Expiry date: April 2024

Supporting site(s) details: **Type:** Management of HSMs & data generation systems. NXP Semiconductors Germany GmbH, Beiersdorfstraße 12, Hamburg 22529, Germany. Expiry date: see NXP Hamburg certificate for expiry. **Type:** Firewall Management. NXP Semiconductors, Campus 6.1, Bulevardul Iuliu Maniu, 061103, Bucharest, Romania. Expiry date: May 2024.

Type: Destruction of reject wafers. NXP Semiconductors Taiwan Ltd., 10 Chin 5th Road, N.E.P.Z., Kaohsiung, 81170, Taiwan. Expiry date: see NXP Kaohsiung certificate for expiry

The auditors were provided with appropriate evidence that the processes and controls on the audit dates were consistent with those required by the SAS-UP Standard v9.1 and the SAS Consolidated Security Requirements v9.1, with the following scope:

Generation of data for personalisation: eUICC

Personalisation: Wafer

Management of PKI certificates: GSMA PKI Ready

Post-personalisation packaging: Not carried out at this site

* Provisional certification extended to end April 2024

Notes & Exclusions: None

For and on behalf of FML
(James Messham)

For and on behalf of ChaseWaterford
(Vernon Quinn)

SAS certification is awarded based on an assessment by the audit team that the site met the requirements of the SAS Standard during the audit, and that it demonstrated an ability and intent to sustain compliance during the certification period. Continued site compliance with the SAS Standard during the certification period, including the implementation of SAS-compliant controls following any changes to the certified environment, is the responsibility of the site. This certificate cannot guarantee that the above organisation is invulnerable to attack or breaches of security. GSMA, FML and ChaseWaterford exclude all liability for loss or damage of any description caused by any failure or breach of the above organisation's security save as may be required by law.

Terms and Conditions of Temporary Extension

The following terms and conditions apply to the Temporary Extension Certificate:

1. This Temporary Extension Certificate is only valid for a period of 6 months. It cannot be extended. Any subsequent extension of the Certificate is at the sole discretion of the GSMA and requires a new temporary extension certificate issued by the GSMA.
2. The Temporary Extension is subject to the:
 - (i) SAS-UP Services Agreement between the GSMA and the Auditee (as amended);
 - (ii) FS.05 GSMA Security Accreditation Scheme for UICC Production - Methodology;
 - (iii) GSMA SAS Covid-19 Auditing and Certification Policy;
 - (iv) FS.05C19 SAS-UP Covid-19 Methodology Variations;in force at the time.
3. The GSMA shall not be liable for any third party reliance on or claim in relation to the Temporary Extension Certificate and/or any associated matter. In the case of any alleged third party reliance on or claim against the Temporary Extension Certificate and/or any associated matter, the Auditee will fully and finally settle such matter (irrespective if brought against the Auditee or the GSMA and/or its Auditing Companies) from its own funds without any recourse to the GSMA or Auditing Companies.
4. The Temporary Extension is subject to:
 - (i) a satisfactory result of a Temporary Extension Assessment (TEA) as set out in the document FS.05C19 SAS-UP Covid-19 Methodology Variations in force at the time;
 - (ii) full and prompt payment of any Fees incurred for the TEA, as set out in Annex A of the SAS-UP Service Agreement, subject to the provisions in FS.05C19 SAS-UP Covid-19 Methodology Variations in force at the time. Payment must be received in full prior to the GSMA issuing the Temporary Extension Certificate.
5. The Auditee agrees to maintain its SAS-compliant controls during the period of the Temporary Extension Certificate at the primary certified site and any supporting sites identified on page two of this Temporary Extension Certificate.
6. While holding the Temporary Extension Certificate, the Auditee agrees to:
 - (i) notify GSMA of any major change to its SAS-UP certified controls or any known non-compliance with the SAS requirements at the aforesaid site(s);
 - (ii) at the request of the GSMA or appointed auditors, provide evidence (documents, photos, video, etc.) of compliance with SAS-UP requirements for remote review and assessment by any GSMA appointed auditors to validate continued SAS-UP compliance or remediation of non-compliances;
 - (iii) participate in associated conference calls with the GSMA appointed auditors and/or GSMA to support above reviews and validations.

The GSMA may withdraw the Temporary Extension Certificate if non-compliances with the SAS-UP are found and not remediated within such period as specified by the GSMA.