

# SAS for Subscription Management (SAS-SM) Scope Definitions Version 2.0 12 Feb 2024

#### **Security Classification: Non-Confidential**

Access to and distribution of this document is restricted to the persons permitted by the security classification. This document is subject to copyright protection. This document is to be used only for the purposes for which it has been supplied and information contained in it must not be disclosed or in any other way made available, in whole or in part, to persons other than those permitted under the security classification without the prior written approval of the Association.

#### **Copyright Notice**

Copyright © 2024 GSM Association

#### Disclaimer

The GSM Association ("Association") makes no representation, warranty or undertaking (express or implied) with respect to and does not accept any responsibility for, and hereby disclaims liability for the accuracy or completeness or timeliness of the information contained in this document. The information contained in this document may be subject to change without prior notice.

#### **Antitrust Notice**

The information contain herein is in full compliance with the GSM Association's antitrust compliance policy.

### **Table of Contents**

1	Intro	oduction	3
	1.1	Overview	3
	1.2	Definitions	3
	1.3	Abbreviations	3
	1.4	References	3
2	Sub	scription Management – Secure Routing (SM-SR)	4
3	Sub	scription Management – Data Preparation (SM-DP)	4
4	Sub	scription Management – Data Preparation+ (SM-DP+)	5
5	Sub	scription Management – Discovery Server (SM-DS)	5
6	Data	Centre Operations and Management (DCOM)	6
7	eSIN	I IoT Remote Manager	6
An	nex A	Document Management	7
	A.1	Document History	7

### 1 Introduction

#### 1.1 Overview

This document provides definitions of each of the following areas of scope in which a site participating in SAS for Subscription Management (SAS-SM) may gain accreditation.

- Subscription Management Secure Routing (SM-SR);
- Subscription Management Data Preparation (SM-DP);
- Subscription Management Data Preparation+ (SM-DP+);
- Data Centre Operations and Management (DCOM);
- Subscription Management Discovery Server (SM-DS), and
- eSIM IoT Remote Manager

#### 1.2 Definitions

As defined in [1], [2], [3] and [4].

#### 1.3 Abbreviations

Term	Description
DCOM	Data Centre Operations and Management
EID	Embedded UICC Identifier
elM	eSIM IoT Remote Manager
eUICC	A UICC which is not easily accessible or replaceable, is not intended to be removed or replaced in a device, and enables the secure changing of profiles.
HSM	Hardware Security Module
LPA	Local Profile Assistant
RSP	Remote SIM Provisioning
SM-DP	Subscription Management – Data Preparation
SM-DP+	Subscription Management – Data Preparation+
SM-DS	Subscription Management – Discovery Server
SM-SR	Subscription Management – Secure Routing

#### 1.4 References

Ref	Doc Number	Title
[1]	SGP.01	GSMA "Embedded SIM Remote Provisioning Architecture"
[2]	SGP.02	GSMA "Remote Provisioning Architecture for Embedded UICC Technical Specification"
[3]	SGP.21	RSP Architecture
[4]	SGP.22	RSP Technical Specification
[5]	PRD SGP.31	eSIM IoT Architecture and Requirement Specification
[6]	PRD SGP.32	eSIM IoT Technical Specification

# 2 Subscription Management – Secure Routing (SM-SR)

	Accreditation Options			
Definition	Locally Hosted Solution		Cloud Hosted Solution	
	Full	Provisional	Full	Provisional
Securely performs functions of Platform Management commands and the transport of Profile Management commands, as specified in [1] & [2].	SM-SR is ful on infrastruc which the SM service provi physical acc	ture to M-SR ider has	only) or fu (application hosted on service prinfrastruct the SM-S	application Illy on + HSM(s)) cloud rovider ture to which R service nas remote

# 3 Subscription Management – Data Preparation (SM-DP)

	Accreditation Options			
Definition	Locally Hosted Solution	Cloud Hosted Solution		
	Full Provisional	Full Provisional		
Prepares Profiles to be securely provisioned on the eUICC and manages the secure download and installation of these Profile onto the eUICC, as specified in [1] & [2].	SM-DP is fully hosted on infrastructure to which the SM-DP service provider has physical access.	SM-DP solution is partially (application only) or fully (application + HSM(s)) hosted on cloud service provider infrastructure to which the SM-DP service provider has remote access only.		

# 4 Subscription Management – Data Preparation+ (SM-DP+)

	Accreditation Options			
Definition	Locally Hosted Solution	Cloud Hosted Solution		
Prepares Profile Packages, secures each with a Profile protection key, stores Profile protection keys in a secure manner as well as the Protected Profile Packages in a Profile Package repository, and links the Protected Profile Packages to specified EIDs. The SM-DP+ binds Protected Profile Packages to the respective EID and securely downloads these Bound Profile Packages to the LPA of the respective eUICC, as specified in [3] & [4].	Full Provisional SM-DP+ is hosted on infrastructure to which the SM-DP+ service provider has physical access.	Full Provisional SM-DP+ solution is partially (application only) or fully (application + HSM(s)) hosted on cloud service provider infrastructure to which the SM-DP+ service provider has remote access only.		

# 5 Subscription Management – Discovery Server (SM-DS)

	Accreditation Options			
Definition	Locally Hosted Solution		Cloud Hosted Solution	
	Full	<b>Provisional</b>	Full	Provisional
Provides addresses of one or more SMDP+(s) to a LDS, as specified in [3] & [4].	the SM-DS	ure to which	only) or fu (application hosted on service pr infrastruct the SM-D	application Illy on + HSM(s)) cloud ovider ture to which S service has remote

### 6 Data Centre Operations and Management (DCOM)

Definition	Accreditation Options			
Definition	Local Hosting	Cloud Hosting		
Management and operation of IT infrastructure required for providing subscription management services.				
If provided by a third party, service model may vary and control/responsibility is shared and agreed between SM customer and DCOM	Full Provisional	Full Provisional		
provider. However, <b>DCOM never includes</b> management of SM application or SM application data.	SM service provider is responsible for physical access to own or	DCOM certified entity does not provide SM clients with physical access to IT infrastructure.		
DCOM may include SM customer physical access to infrastructure or may also be provided as a cloud service through network access only.	subcontractor's IT infrastructure.			

# 7 eSIM IoT Remote Manager

	Accreditation Options			
Definition	Locally Hosted Solution		Cloud Hosted Solution	
		P	$\checkmark$	VP
	Full Provi	sional	Full	Provisional
Performs secure remote profile state management operations and eIM configuration on a single IoT device or a fleet of IoT devices, as part of the architecture for remote provisioning of eUICCs in network constrained and/or user interface constrained IoT devices defined in SGP.31 [5] and SGP.32 [6].	eIM is hosted on infrastructure to wh the eIM service pro has physical acces	ovider	only) or fu (application hosted or service p infrastruc the eIM s	application ully on + HSM(s)) n cloud rovider ture to which ervice mas remote

These are current definitions and applicable scopes. Definitions will be updated and scopes extended as appropriate.

# Annex A Document Management

### A.1 Document History

Version	Date	Brief Description of Change	Editor / Company
1.0	8 Jul 2020	First version	
1.1	9 May 2022	Updated to reflect changes in FS.18 enabling auditing and certification of HSM as a managed service.	David Maxwell, GSMA
1.2	18 Apr 2023	Updated GSMA logo.	
2.0	12 Feb 2024	Added eSIM IoT Remote Manager	

It is our intention to provide a quality product for your use. If you find any errors or omissions, please contact <u>sas@gsma.com</u>.